

Intelligent Transportation Systems Applications for Ports









May 2, 2019





ITS Professional Capacity Building Program

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ITS Joint Program Office
U.S. Department of Transportation



ITS PCB Program Background

Part of USDOT ITS Joint Program Office (JPO)

• 1996: Authorized by Congress

2010: Reauthorized by MAP-21

• 2016: Reaffirmed by FAST Act

The ITS PCB Program supports a variety of ITS learning opportunities to accelerate ITS deployments and encourage more efficient operations







Program Strategy

Vision: Prepare a dynamically knowledgeable community of transportation industry professionals for a connected automated transportation system

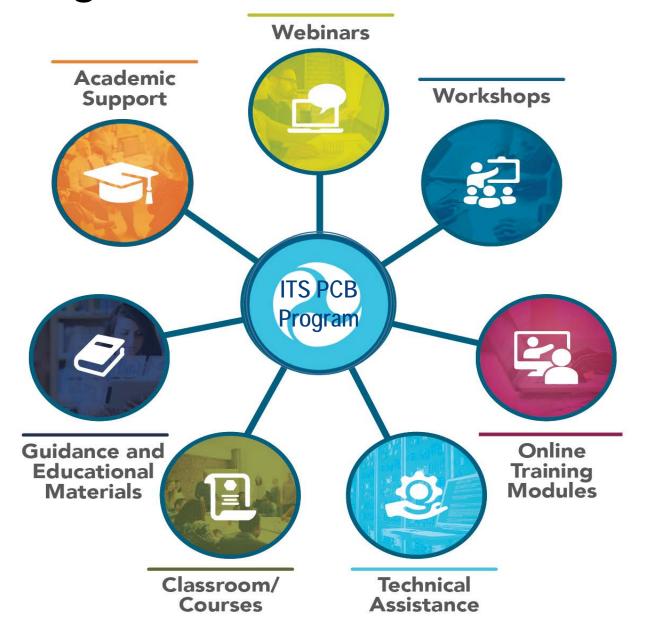
Mission: Provide a multimodal and multidisciplinary capacity building program for all levels of current and future transportation professionals to accelerate preparation for and the deployment of innovative ITS







ITS PCB Program – Portfolio of Products







| ITS ePrimer - ITS Profes: X



U.S. Department of Transportation **Maritime Administration & Intelligent Transportation Systems** Joint Program Office

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 https://www.pcb.its.dot.gov/eprimer/default.aspx United States Department of Transportation

Intelligent Transportation Systems Joint Program Office

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ITS Professional Capacity Building Program

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Resources for Practitioners

Welcome to the ITS ePrimer!

The ITS ePrimer provides transportation professionals with fundamental concepts and practices related to ITS technologies. This online resource can help practicing professionals and students better understand how ITS is integrated into the planning, design, deployment, and operations of surface transportation systems. The ITS ePrimer is both a stand-alone reference document for the practitioner as well as a text for education and training programs.

Please use the option to send feedback as you read through the ePrimer. The ITS PCB Program welcomes your comments and suggestions.

To view a module, click its plus button 🕕





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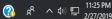
ITS PCB Program -**Resources for Practitioners Tab**

The ITS PCB Program would like to acknowledge the following individuals who volunteered their time to review the modules.

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U.S. Department of Transportation
Maritime Administration &
Intelligent Transportation Systems
Joint Program Office

Module 1 Introduction to ITS	0	Module 8 Electronic Toll Collection and Pricing
Module 2 Systems Engineering	0	Module 9 Supporting ITS Technologies
Module 3 Transportation Management Systems	0	Module 10 Rural and Regional ITS Applications
Module 4 Traffic Operations	0	Module 11 Sustainable Transportation
Module 5 Personal Transportation	0	Module 12 Institutional Issues
Module 6 Freight, Intermodal, and CVO	0	Module 13 Connected Vehicles
Module 7 Public Transportation	0	Module 14 Emerging Issues

(https://www.pcb.its.dot.gov/eprimer/default.aspx)









U.S. Maritime Administration

- MARAD seeks to increase cargo capacity and reliability of freight moving through ports
- Challenges & Issues
 - Marine terminal congestion is an ongoing challenge in the U.S.
 - Economic growth driving cargo volume growth
 - Exacerbated by larger container ships, infrastructure improvements channel deepening, air draft clearance projects, Panama Canal expansion
 - Complexity of multi-modal port operations
- Maritime Administration Strategic Plan (2017-2021) ... Strategic Goal #5: Maritime Innovation
- ITS MARAD Truck Staging Study (joint project with ITS JPO, FHWA, and FMSCA) – Webinar available at https://ops.fhwa.dot.gov/freight/fpd/talking_freight/index.htm by June 2019









American Association of Port Authorities

- The unified voice of the seaport industry in the Americas, representing more than 130 public port authorities in the U.S., Canada, the Caribbean and Latin America.
- AAPA events, resources and partnerships
 - connect, inform and unify seaport leaders and maritime professionals
 - promotes the common interests of the port community
 - provides advocacy and effective public outreach to influence seaports' most urgent public policy issues

Information Technology Committee

The AAPA Information Technology Committee focuses on electronic data interchange, management information systems and other automation initiatives. The Committee is open to all members of the Association, including corporate (port) members and sustaining (port industry solution providers) members.

Information Technology Awards Program

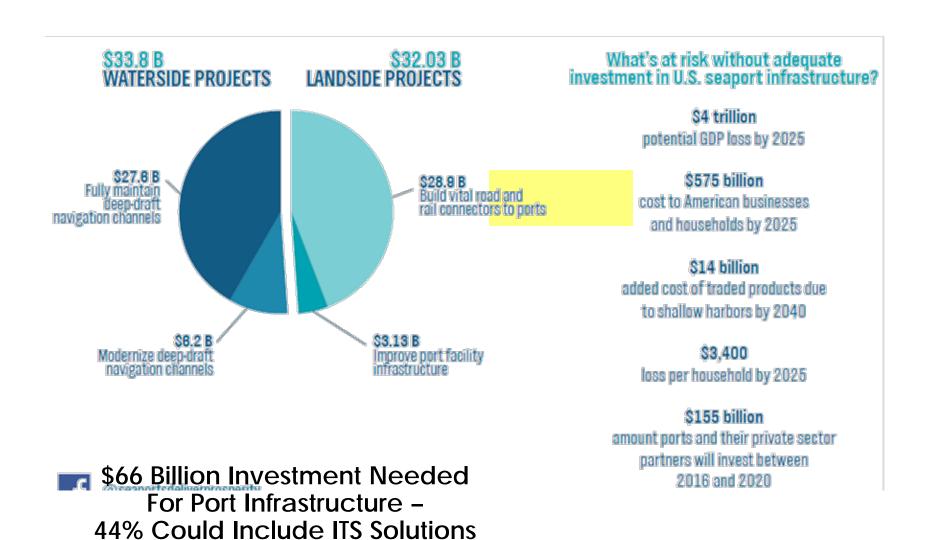
The AAPA Information Technology Awards highlight port technology accomplishments in the areas of "Port Operations and Management Systems" and "Improvements in Intermodal Freight Transportation." Participation is open to all corporate members of the association.







Needed Port Investments – ITS Opportunities



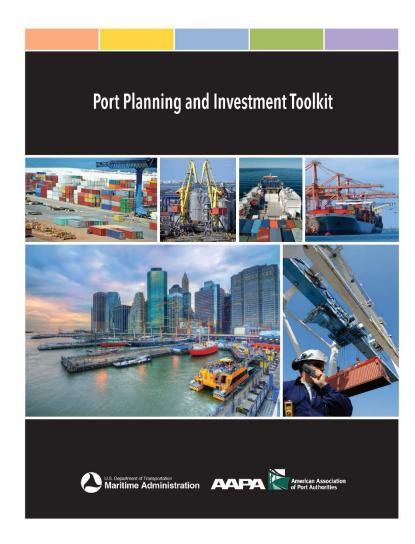






Port Planning & Investment Toolkit (PPIT)

- Led by:
 - AAPA
 - MARAD
 - 64 Port Staff, PPM Candidates, Consultants
- Goal is to assist Ports:
 - Develop capital plans that clearly identify future needs;
 - Determine the most cost-effective, sustainable and efficient solutions to port challenges;
 - Position port projects for federal funding such as BUILD, INFRA and MPO grants;
 - Get port infrastructure projects into MPO and state transportation programs to qualify for other government funding; and
 - Obtain private sector funding to support their infrastructure projects.









PPIT and ePrimer Modules

- PPIT information, updates, and resources are available at:
 - AAPA website at http://www.aapa-ports.org/PPIT
 - MARAD website at https://www.maritime.dot.gov/ports/port-planning-and-investment-toolkit
- ITS Professional Capacity Building Program ITS ePrimer Modules at https://www.pcb.its.dot.gov/eprimer/default.aspx
- PPIT and ePrimer ITS for Port Operations Module available June 2019





Intelligent Transportation Systems

- An engineering discipline that encompasses the research, planning, design, integration, and deployment of systems and applications to:
 - Manage traffic and transit,
 - Improve safety,
 - Provide environmental benefits, and
 - Maximize the efficiency of surface transportation systems.











ITS in the Port Context

Traditional Focus:

 Moving vehicles, on an open public network without transactions under limited regulations for public stakeholders



Port + ITS Focus:

 Moving trucks and trains on a bounded network, accessing private spaces, for commercial transactions under tight regulations for private stakeholders









ITS in the Port Context

- **Local/regional** Applications of ITS for the surrounding road and rail network that indirectly impact port operations. This could include the provision of freight signal priority (FSP) on road and rail interchanges in proximity to a terminal.
- Port specific Applications of ITS for the port area transportation network, such as terminal roadways, gate access management, and reservation systems.
- Combination Applications of ITS that addresses port operations, the port area transportation network and the region. This could include a truck staging and parking application that provides staging information at the terminal, and detailed route information for efficient and timely access to the facility.





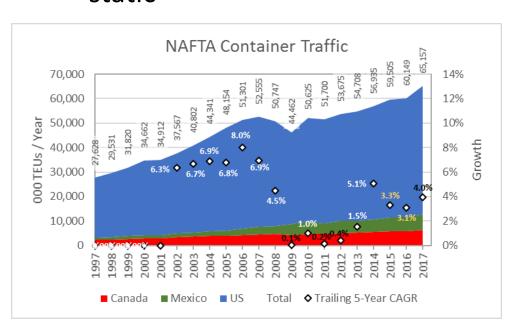




The Need for ITS in Ports

Demands and Constraints

- 52 M TEUs / year in 2017
 114 M by 2040: +118%
- Demand is spikier
- Port road and rail systems are static



Alternatives

- Build new ports
 - Flat Land + Deep Water:
 Rare and Constrained
- Build more roads in ports
 - Cities have expanded toward their ports, hemming them in
- **Shift** traffic off of roads
 - Intermodal rail has similar issues
- Build smarter roads
- Use resources more efficiently







Potential Benefits for Ports

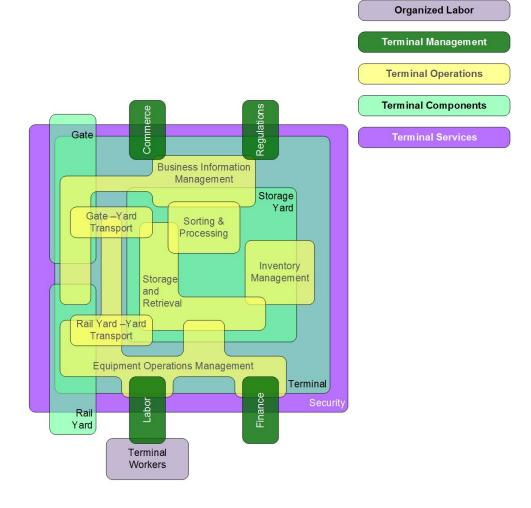
Service Element	Benefits
Safety and Reliability	Avoid port-area collisions, goods movement accident losses, hazardous material releases.
Resilience	Mitigate the impact of disruptive events.
Cargo Visibility, Reliability	Improve the reliability and timeliness of cargo transport. Improve the responsiveness of service providers.
Vehicle Efficiency and Mobility	Reduce travel time, queuing and idling. Maintain network fluidity. Improve transport workforce efficiency.
Gate Efficiency	Reduce queuing. Improve accuracy, avoid transaction failure. Improve gate transaction speed, extend hours, and optimize labor.
Terminal Yard Efficiency	Improve density and velocity. Improve cargo handling equipment deployment. Reduce cargo rehandling.
Port Efficiency	Balance load between terminals. Respond to congestion events.







Not a Simple Playing Field - Terminals

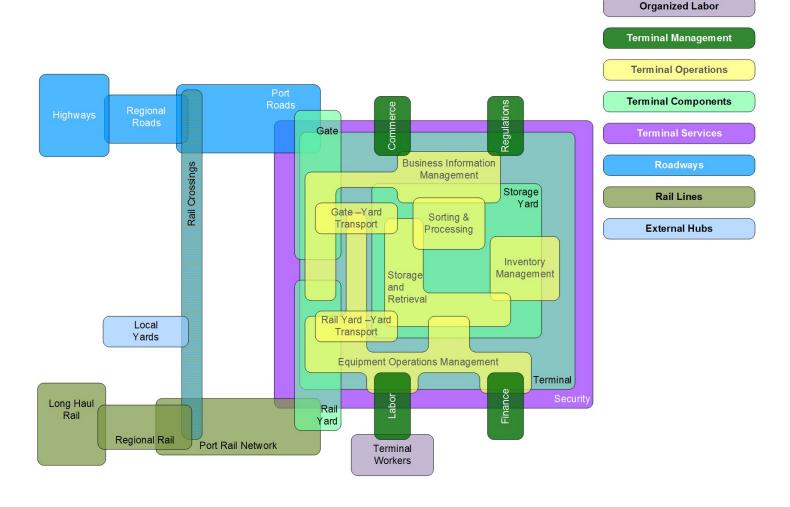








Not a Simple Playing Field – Networks

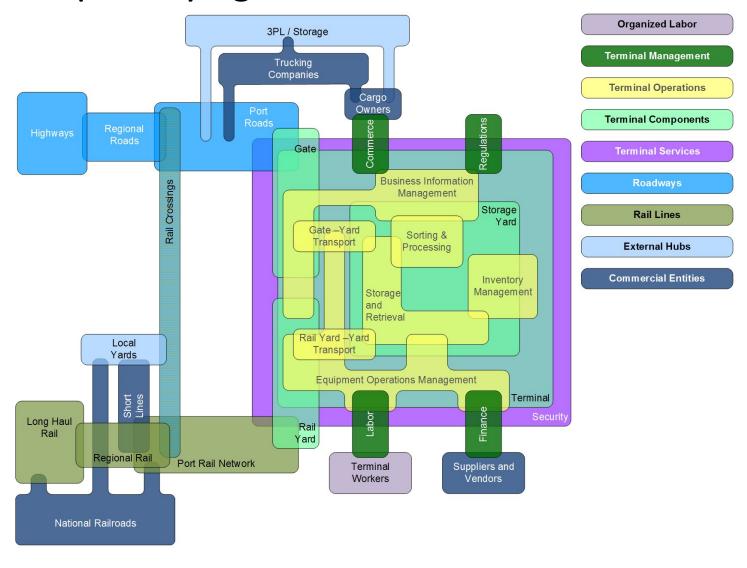








Not a Simple Playing Field - Commerce

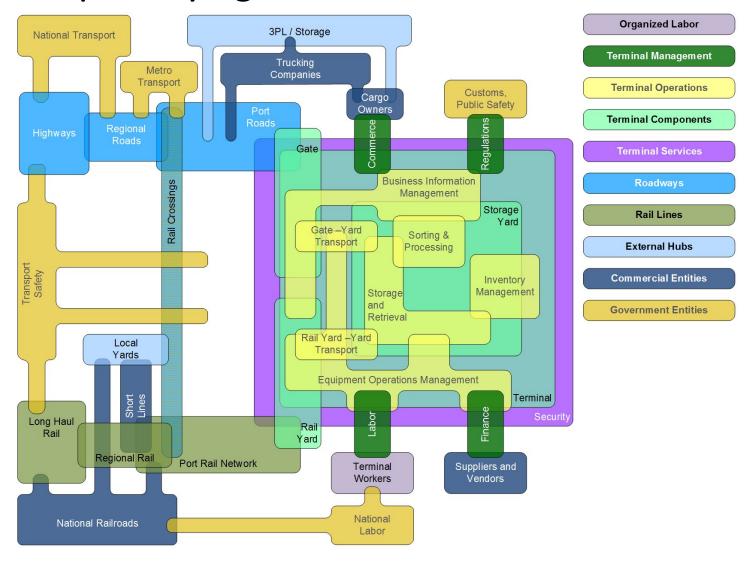








Not a Simple Playing Field - Governance

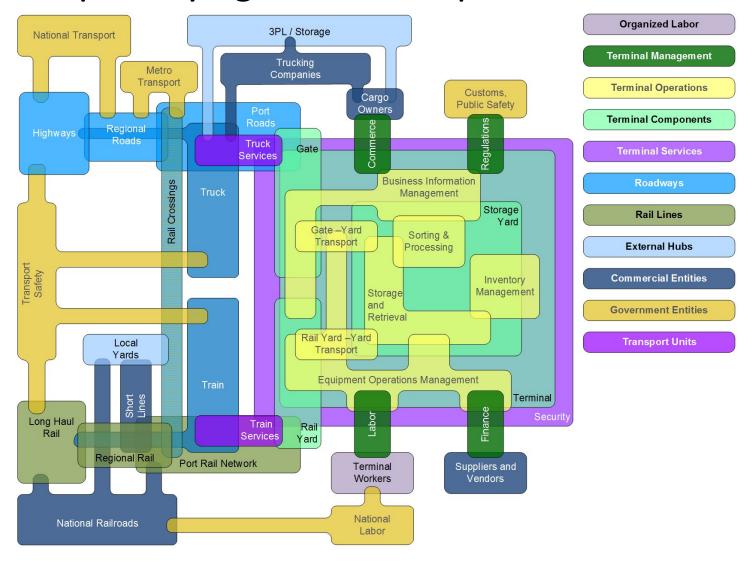








Not a Simple Playing Field - Transporters







Enabling Technologies

PX	Proximity and Detection
	Systems

- Smart Cameras
- Laser / Infrared Scanners
- Radio Detection and Ranging
 RADAR
- Light Detection and Ranging
 LIDAR



ID	Cargo and Vehicle ID
	Systems

- Optical Character Recognition OCR
- License Plate Recognition LPR







Enabling Technologies

	VI	Vehicle Information
		Systems

- Weigh-in-motion WIM
- Vehicle Telematics
- Electronic Logging Devices **ELD**
- Radio Frequency Identification RFID

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	Location Determination
LO	Systems

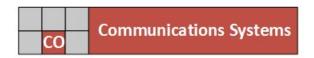
- Geographic Positioning System GPS
- Differential GPS
- Bluetooth Tracking







Enabling Technologies



- Cellular Communication -4GC, 5GC
- Dedicated Short Range Communications



- Electronic Data Interchange EDI
- Internet of Things IOT
- Cloud Data and Processing
- Blockchain



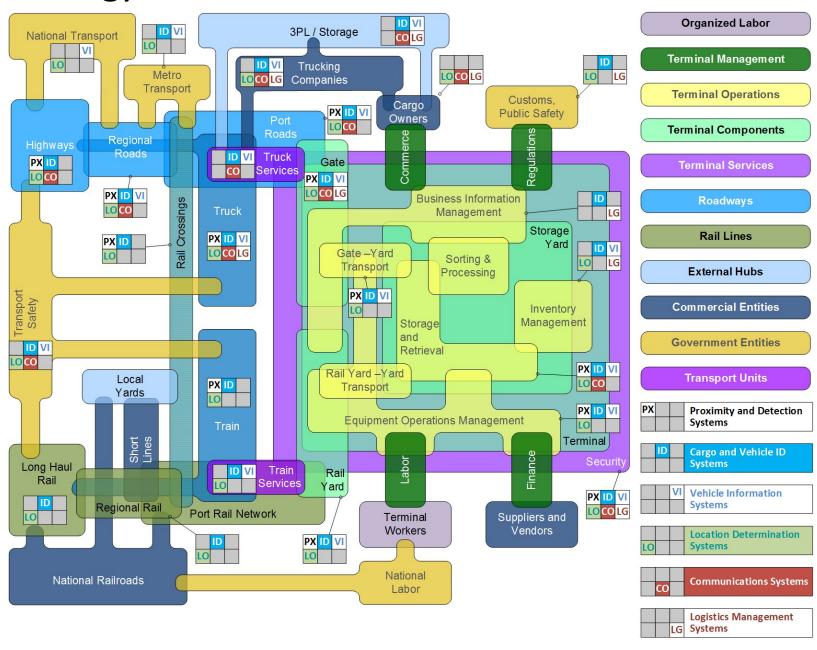








Technology Interactions

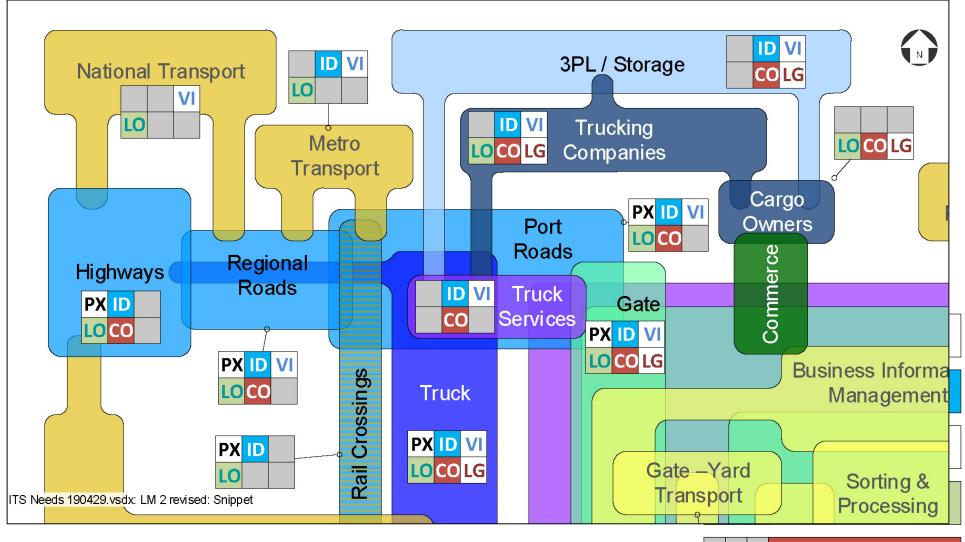








Technology Interactions – Road and Gate











ITS Applications

Local/Regional

- Connected, Automated and Autonomous Vehicles
- Platooning Systems
- Route Guidance

Combination

- Geo-Fencing
- Freight Signal Priority
- Rail Yard Integration
- Integrated Community Portal
- Freight Advanced Traveler Information System (FRATIS)
- Traveler Information Reporting

Port-Specific

- Intelligent Recognition and Imaging Software
- Equipment Tracking System
- Terminal Operating System
- Gate Operation System
- Terminal Status Reporting
- Gate Queue Reporting
- Truck Appointment Systems
- Street Exchange Systems
- Automated Work Flow







A Recent Example

Mean Gate Wait Times (in minutes - past 5 days)

			_ (J - /
TIME	WED	THU	FRI	MON	TUE	TODAY
07:00						-
08:00	22.3	32.0	39.2	55.4	60.5	21.1
09:00	12.1	7.6	35.6	27.5	38.2	16.9
10:00	8.5	11.9	9.9	18.3	12.0	11.3
11:00	11.5	9.0	29.4	24.3	28.8	17.5
12:00						-
13:00	44.2	26.0	52.8	48.3	54.5	48.9
14:00	32.1	11.8	39.9	55.7	39.1	26.8
15:00	24.2	24.2	59.4	42.6	30.3	31.0
16:00	6.0	12.0	45.0	21.5	9.0	10.5

Mean Truck Service Times (in minutes - past 5 days)

TIME	WED	THU	FRI	MON	TUE	TODAY
07:00						-
08:00	37.6	26.6	44.2	28.2	29.6	17.9
09:00	39.2	22.0	36.1	37.4	27.0	31.2
10:00	21.2	27.4	34.9	40.4	37.9	28.1
11:00	20.1	21.8	55.3	28.4	43.7	13.3
12:00						-
13:00	22.6	25.9	38.1	21.1	45.7	27.3
14:00	27.6	25.1	38.1	23.8	21.8	33.2
15:00	33.2	16.4	35.0	18.1	19.2	48.0
16:00	22.3	19.3	29.6	17.7	27.1	20.2

- A port authority bought extra <u>bridge toll tag</u> (RFID) readers and placed them at:
 - Tail of entry gate queues
 - Entry into the container yards
 - Exit from the terminals
- Time stamp readings for each tag, and some filtering, produced gate queue and truck service times
- Mean results are dynamically updated, in public, on the port's website







Vehicle to Everything (V2X) and IOT Communications

Service			V2X a	nd IoT Communications Techn	ology		
Improvement	Vehicle / Vel	nicle	Vehicle / Infrastructure	Vehicle / Device	Vehicle / IoT	IoT P	latform
Safety & Reliability	Avoid port-area Port equipment position routing.		Speed constraints, variant road conditions, construction areas, queue	ent losses, hazardous material Downstream congestion, speed reduction warnings, signal conditions, rail crossing condition, truck trailer basic safety message.	releases. Trip timing or routing to avoid incidents, hazardous material conditions, expected rail movements and road blockages.	Vehicle mainter inspection track driver / vehicle	king and reporting,
Resilience	Engine conditions / floor	ding, mass ncy vehicle	lisruptive events, such as a Road, rail, tunnel, and bridge	extreme weather or geological Freezing / icing, stream conditions, power grid conditions, signal system disruption.		Impact prediction paths, flood sur seismic damage	ge modeling,
Cargo Visibility & Reliability	Improve the reli	shipment	Weights and weight limitations, construction areas, operational conditions that increase delays, truck parking tracking.	vehicle characteristics, shipment location, transport unit location and condition, geofencing, net velocity, driver safety conditions, truck parking info. management system (TPIMS) availability.	nsiveness of service provious Route recalculation, scheduled route interruptions, vehicle / signal synchronization, variable priority movements, TPIMS synchronization.	Congestion trac projection, rout	e balancing and mamic proactive
Vehicle Efficiency & Mobility	Reduce travel tir Peloton coordination, di splitting, driver team coi coordination.	iver load	Signal pattern reporting, speed monitoring, geofence population	naintain network fluidity, and in Signal coordination conditions, speed enforcement, geofenced population management, dedicated lane / route utilization.	mprove transport workfor Queueing information, congestion reporting, priority path use, dynamic tolling, congestion pricing.	Dynamic model	ing of transport nt optimization,
Gate Efficiency	Reduce queueir Multi-unit manifest coo team-wide transport me	Imp	rovement	Vehicle	e ↔ Device		Or. sactions to e availability,
	and coordination.			Reliability, timeline	ess, responsivene	SS	y controls, est, transactions.
Terminal Yard Efficiency	Improve density Equipment queue mana report truck wait times, over-stows of moves in	_	o Visibility &	Vehicle characteris transport unit loca geofencing, net ve	tion and conditio	n,	estion. nment areness of s to avoid over- ontrol operations.
Port Efficiency	Improve the effi Train positioning and ro vessel-lift bridge coordi		•	conditions, truck p management syste	s management, ient, rail car ain scheduling e timing.		







Stakeholder Matrix

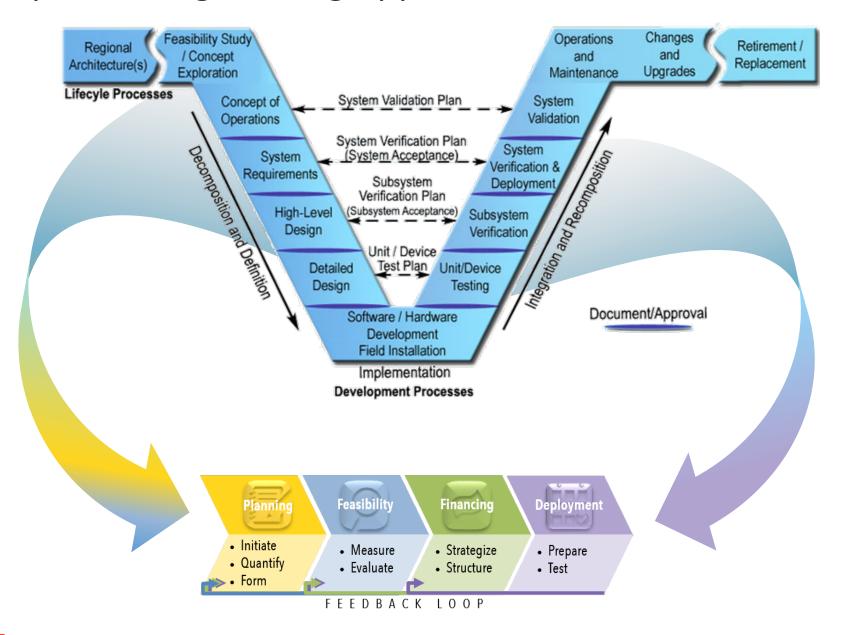
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	Legend No Interaction Modest Interaction Strong Interaction	Port Authority	Customs & Border Patrol	Air Quality Regulator	Permitting Authority	MPO	Host City Government	State DOT	Vessel Liner	Port Terminal Operator	Rail Terminal Operator	Rail Switching Entity	Rail Class I Operator	Warehouse / DC Operator	Trucking Company	Chassis Pool Operator	Support Service Provider	Utility Company	Beneficial Cargo Owner	Longshore Labor	Rail Operating Labor	Truck Drivers	Residents / Workers
	Terminal Equipment																						
	Storage Yard Layout																						
inal	Gate																						
Ferminal	Rail Working Yard																						
Te	Rail Storage Yard																						
	Telecomm Network																						
	Operating Systems																						
	Road Network																						
rea	Rail Network																						
Port Area	Access Roads																						
Por	Rail Working Yard																						
	Rail Storage Yard																						
	Road Network																						
Region	Rail Network																						
Reg	Highways																						
	Distribution Centers																						







A Systems Engineering Approach







Planning

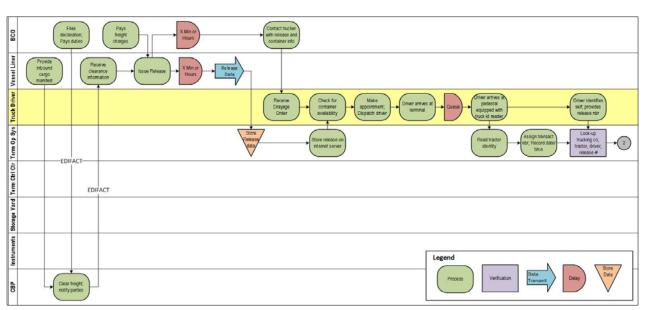
- Initiate
 - Set Goals and Objectives
 - Collect Data
 - Engage Stakeholders
- Quantify
 - Map Existing Conditions
 - Identify Needs and Drivers
- Form
 - Develop and Refine Alternatives
 - Analyze and Compare

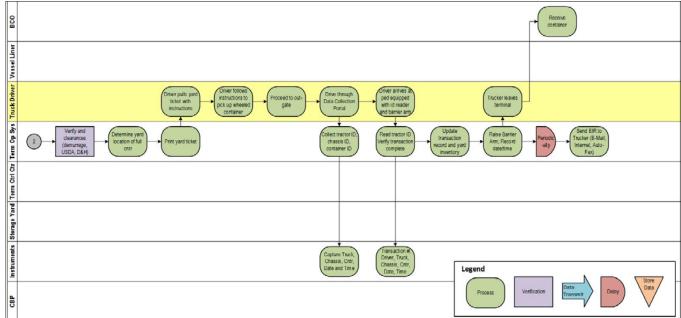






Cross-functional flow diagrams





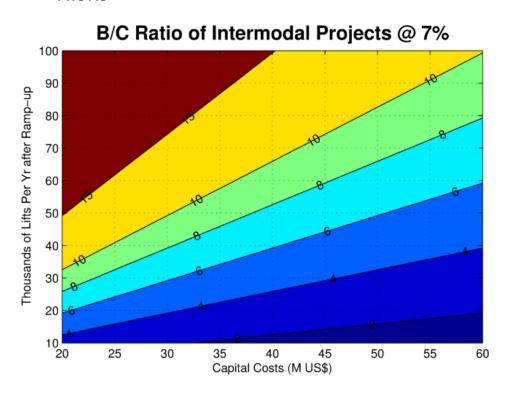




Feasibility

Assess

- Potential Performance
- Human Resource Needs
- Impacts
- Risks



Evaluate

- Criteria
- Prioritization
- Scoring & Selection

	Weight	Normaliz	ed/Assigne	ed Scores		Total Score
Account Bement	1 - 10	Alt. 1	At. 2	At. 3	At. 1	At. 2
Operational Performance	32.0				283	268
Capacity at Site Buildout	8.5	10.00	8.00	8.67	85	68
Berth Productivity at Buildout	9.5	10.00	8.75	9.06	95	83
Gate Truck Cyde Time	7.0	8.33	10.00	9.09	58	70
Intermodal Service	7.0	6.3	6.7	6.7	44	47
Development	22.0				193	168
Suitability for Phased Implementation	7.0	9.0	8.0	7.0	63	56
Development Complexity	7.0	8.7	7.7	7.3	61	54
Risk of Delay	8.0	8.7	7.3	6.0	69	59
Financial	26.5				225	235
Net Present Value of Costs (\$M)	9.0	8.24	9.33	10.00	74	84
Initial (5-year) Capital Outlay (\$M)	9.5	10.00	8.57	7.50	95	81
Unit Operating Cost	8.0	7.00	8.75	10.00	56	70
Workforce	15.0				109	118
Worker Safety	8.0	6.3	8.3	9.3	51	67
Skilled Workforce Availability	7.0	8.3	7.3	8.0	58	51
Optimization of Workforce	7.5	10.00	7.50	5.00	75	56
Environmental	30.5				217	259
Carbon Fuel Consumption	6.5	3.33	10.00	6.67	22	65
Noise Pollution	5.0	5.0	8.0	9.0	25	40
Light Pollution	4.0	5.0	8.0	9.0	20	32
Total Energy Consumption	7.0	10.00	8.33	8.06	70	58
Land Utilization	8.0	10.00	8.00	8.67	80	64







Port ITS Considerations

- Responsibility & Authority
 - Many stakeholders
 - "Ownership" keeps shifting
 - Many drivers, few controls
- Random Demand
 - Ports serve the fickle sea
 - The landside isn't much better
- Transactional Error
 - Freight moves neither faster nor better than its supporting data

- Labor
 - Bound by contract
 - Bound by tradition
 - Imbalances in power, control
- Freight Security
 - Avoid theft, damage, pilfering
- Transportation Security
 - Keep the Bad Guys out
 - Keep the Bad Stuff out
 - Find the Bad Stuff before it becomes **Really** Bad Stuff
- Cybernetics
 - Who <u>ARE</u> those Bad Guys?







Cybersecurity and Resiliency

- Vehicle NHTSA
 - Harden the vehicle's electronics against potential attacks and ensure appropriate response.
- Infrastructure NIST + USDOT
 - Framework for Improving Critical Infrastructure Cybersecurity
- Integration USDOT ITS JPO et al
 - Research, develop, and educate on cybersecurity technical and policy mitigations.
 - Pursue a unified approach to vehicle, device, and infrastructure security for connected vehicles
- Navigation USCG
 - Information, resources concerning maritime cybersecurity: <u>USCG Homeport - Cybersecurity</u>.

Vessels and Ports – ABS

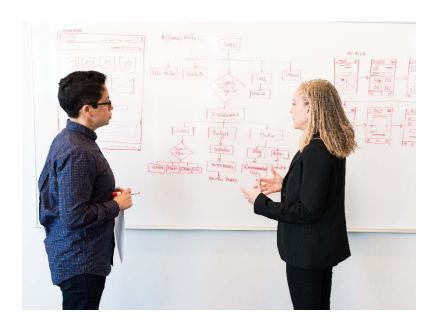
- Guidance Notes on cybersecurity & resiliency matters.
- FCI Cyber Risk™ algorithm at <u>ABS Maritime Cyber Security</u>.
- Ships at Sea IMO
 - Recommendations on maritime cyber risk management for shipping: <u>Guidelines on Maritime Cyber Risk</u> <u>Management</u>
- Homeland DHS
 - US Computer Emergency Response Team (US-CERT)
 - Industrial Control Systems Cyber Emergency Response Team (ICS-CERT)
 - <u>US-CERT Resources</u>, <u>ICS-CERT</u> <u>Resources</u>.
- Maritime MARAD's
 - Office of Security coordinates to issue US Maritime Alerts and US Maritime Advisories





Financing

- Strategize
 - Low cost, high return on investment
 - Identify funding opportunities and alternatives
- Structure
 - Federal funding sources
 - State and local sources
 - Private funding sources









External Funding Sources

- Federal Programs
 - Discretionary Grants
 - Federal-Aid Grants
 - Federal Loans
 - Private Activity Bonds (PAB)
- State, Regional, Local
- Private

Govt. Program	Summary Description
ATCMTD	Competitive grant for deployment of deploy advanced transportation and congestion management technologies.
ITS	Funding for the development of ITS infrastructure, equipment, and systems; and ITS research initiatives, exploratory studies, and a deployment support programs.
BUILD	Competitive grant for enhancement of surface transportation infrastructure at local and regional level.
INFRA	Competitive grant or credit assistance for highway and freight projects of national or regional significance.
STBG	Formula funding for States and MPOs for priority transportation projects.
NHFP	Formula funding for States to improve movement of freight on National Highway Freight Network.
CMAQ	Formula funding for States, MPOs and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act.
TIFIA	Financing assistance for ITS and surface transportation projects, certain freight rail projects, intermodal freight transfer facilities, and certain projects inside a port terminal.
RRIF	Financing assistance for railroad equipment, facilities and infrastructure including positive train control systems.
PABs	Tax-exempt financing issued through a public conduit for privately developed infrastructure.





Deployment

- Prepare
 - Procurement Method
 - Deployment Plan
- Verify
 - Field Operational Tests
 - Key Performance Indicators
 - Demonstrations
 - Go-Live Checklist



